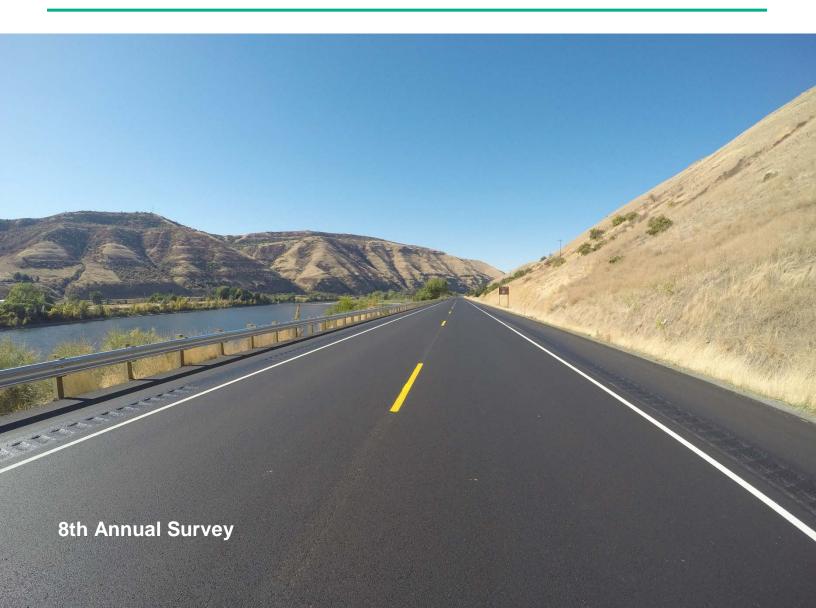


Asphalt Pavement Industry Survey on

Recycled Materials and Warm-Mix Asphalt Usage 2017

IS-138 Appendix A: Methodology & Survey Forms



Asphalt Pavement Industry Survey on Recycled Materials and Warm-Mix Asphalt Usage: 2017 Appendix A

Appendix A to the eighth edition of the Asphalt Pavement Industry Survey on Recycled Materials and Warm-Mix Asphalt Usage (Williams et al., 2018) provides details on the methodology used to collect and analyze the 2017 construction season survey data, as well as reproduces the primary survey instruments used to collect data from asphalt mixture producers and from the State Asphalt Pavement Associations (SAPA). Producers were asked primarily to provide company-/plant-level data, while SAPAs were asked to provide industry-level data for their state.

Survey Methodology

To collect and analyze the data summarized in the main *Asphalt Pavement Industry Survey on Recycled Materials and Warm-Mix Asphalt Usage* report for the 2017 construction season survey, the following tasks were conducted:

- 1. Develop an online survey that enables an analysis of the quantities of recycled materials being used in asphalt mixtures, as well as the total amount of WMA produced nationally.
- 2. Conduct a voluntary survey of asphalt mix producers throughout the United States and follow up with verbal requests for information in locations where responses were low.
- 3. Estimate the total asphalt mixture market in each state or territory by using data provided by SAPAs and the U.S. Department of Transportation federal-aid highway apportionment to determine a weighting factor for each state and reconciling the total U.S. asphalt mix tonnage with national estimates.
- 4. Analyze and summarize the information nationally and in each state and to prepare a final report.

The survey was conducted using an online survey platform, SurveyMonkey®. Table A1 summarizes the questions asked in each section of the producer survey. Sections 1 through 4 have remained consistent from the 2009 to 2014 construction seasons. Additional questions were added to Sections 2 through 4 for the 2015 to 2017 construction seasons to gather additional information about RAP and RAS stockpiling, fractionation, the use of softer binders and recycling agents, the acceptance of processed RAS, and the use of WMA technologies at HMA temperatures. For 2017, the Section 3 question about tons of unprocessed shingles accepted was modified to ask about the type of unprocessed shingles accepted, and the Section 4 question about the use of WMA additives at HMA temperatures was modified to gather additional information. A Section 3 question about RAS binder blending was removed. Section 5 was added in the 2012 construction season survey to collect information on the use of other recycled material in asphalt mixtures. Starting in 2015, the Section 5 question asking about specific recycled materials was modified to replace one user-provided response with cellulose fiber. A copy of the producer survey form used to gather information for the 2017 construction season is provided in the Survey Instrument section of Appendix A.

Producers were notified of the survey through several forums and electronic media. Notice were placed in NAPA's e-newsletter, *ActionNews*, informing members of the survey and asking for their participation. SAPAs solicited participation by placing notices on their websites and in their newsletters. Announcements were made at NAPA meetings, as well as at several state asphalt conferences. A press release was sent to construction industry trade media, and was published in print and online. Notices of the survey and links were also shared through social media channels, primarily Twitter, Facebook, and LinkedIn. Follow up with producers and SAPAs was conducted via email, social media, and telephone.

Table A1: Survey Questions Summary

Section 1: General Information	Section 2: RAP	Section 3: RAS	Section 4: WMA	Section 5: Other Recycled Materials
Contact Information	Tons Accepted	Tons Unprocessed Tear-Off Shingles Accepted	Average % Produced for DOT Tons	Were Other Recycled Materials Used (Y/N)
State Information Is Provided for	Tons Used in HMA/WMA Mixes	Tons Unprocessed Manufacturers' Waste Accepted	Average % Produced for Other Agency Tons	Other Recycled Materials Used (GTR, Steel Slag, Blast Furnace Slag, Cellulose Fiber, CCP, Up to Two User- Provided Responses)
Number of Production Plants	Tons Used in Aggregate Base	Tons Processed Shingles Accepted	Average % Produced for Commercial & Residential Tons	Tons of HMA/WMA Produced Using Each Recycled Material
DOT Tons	Tons Used in Cold-Mix Asphalt	Tons Used in HMA/WMA Mixes	Chemical Admixture %	Tons of Each Other Recycled Product Used
Other Agency Tons	Tons Used in Other	Tons Used in Aggregate Base	Additive Foaming %	
Commercial & Residential Tons	Tons Landfilled	Tons Used in Cold-Mix Asphalt	Production Plant Foaming %	
	Average % for DOT Mixtures	Tons Used in Other	Organic Additive %	
	Average % for Other Agency Mixtures	Tons Landfilled	% of HMA Tons Produced Using Chemical Admixture	
	Average % for Commercial & Residential Mixtures	Average % for DOT Mixtures	% of HMA Tons Produced Using Additive Foaming	
	Excess RAP (Y/N)	Average % for Other Agency Mixtures	% of HMA Tons Produced Using Plant Foaming	
	Tons of RAP Stockpiled	Average % for Commercial & Residential Mixtures	% of HMA Tons Produced Using Organic Additive	
	Percentage of RAP Fractionated	Excess RAS (Y/N)		
	Percentage of RAP Mixtures Using Softer Asphalt Binder	Tons of RAS Stockpiled		
	Percentage of RAP Mixtures Using Recycling Agents	What Sectors Allow RAS		
		Estimated percent of RAS Binder Blending with New Asphalt Binder		
		Percentage of RAP Mixtures Using Softer Asphalt Binder		
		Percentage of RAP Mixtures Using Recycling Agents		
Yellow indicates a new qu	restion for 2017 Red in	ndicates a question removed for	2017 Cyan indicates a c	question modified for 2017

Asphalt mixture producers then went to the SurveyMonkey website to complete the survey form. Some producers submitted PDF forms and the data were entered into SurveyMonkey by NAPA. Some producers submitted data using an Excel spreadsheet developed by NAPA. After the initial data was gathered and analyzed, anomalies in individual producer records were identified and reconciled.

To collect industry-level data from the SAPAs, a 10-question survey was similarly fielded an online survey platform, SurveyMonkey®. A copy of the survey form used to gather information for the 2017 construction season from SAPAs follows the producer survey form in the Survey Instrument section of Appendix A. In a handful of states without SAPAs, industry-level data was provided by an Associated General Contractors (AGC) chapter or a similar knowledgeable source.

To determine the estimated total amount of RAP and RAS used and WMA produced nationwide and in each state/territory, the total amount of asphalt mix produced in each state/territory needed to be determined. Total tonnage of asphalt mix produced represents both commercial (i.e., private sector) and governmental (i.e., DOT and Other Agency) tonnages. Estimated tonnages for each sector were provided by SAPAs for 32 states/territories, totaling more than 274 million tons.

To estimate the total tons in states where a SAPA estimate of total tonnage was not available, a power curve relationship based on an examination of the relationship between SAPA-estimated tons and federal-aid highway apportionment (FHWA, 2017) for those states was determined, resulting in Equation A1. This is the same methodology used to estimate tonnage in previous versions of this survey, as detailed in Hansen & Newcomb (2011), with the formula updated annually as SAPA-reported estimates and state federal apportionments change.

Total Estimated Tons =
$$0.0014 \times (State Federal Apportionment)^{1.1064}$$
 [A1]

Since 2012, 31 states have moved to raise additional local funds for transportation (T4America, n.d.). These additional funds are not accounted for in Equation A1, which can lead to underestimation of total tonnage in some states. Similarly, because federal funding for the U.S. territories is through the Territorial and Puerto Rico Highway Program (FHWA, 2016) instead of state apportionment, estimates for American Samoa and Puerto Rico were calculated using Equation A1 and Territorial and Puerto Rico Highway Program funding levels. These two caveats do have an impact on Appendix B and some other the state-level data included in this report; however, it has little impact on the national values.

Appendix B and certain tables in this report detail survey responses and estimated values on a state-by-state basis. To keep specific producer data confidential, no state-specific information is provided in the tables or appendix if fewer than three producers from the state responded to the survey. Information from states with fewer than three responding companies is included in the estimated national values, however.

Survey Instrument

As outlined above, the following pages of this appendix provide a copy of the survey instrument used to collect responses from participants. The majority of asphalt mixture producers participating in the survey used the online survey platform SurveyMonkey® to provide their responses. Some producers submitted PDF forms and the data were entered into SurveyMonkey by NAPA staff. Some multistate producers submitted data using a spreadsheet developed by NAPA to collect the same information. The producer version of the survey begins on page 6; the SAPA version begins on page 23.

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2017 Construction Season Survey: Producer Version



Recycled Materials and WMA Survey 2017

Purpose

The National Asphalt Pavement Association is working with the Federal Highway Administration to determine the amount of hot-mix asphalt (HMA), warm-mix asphalt (WMA), and recycled materials being produced and used in each state. This survey will be used to collect this data.

It is important for the industry that you complete this survey so that we have accurate information regarding the use of recycled materials and WMA and to identify areas needing assistance in implementation.

DATA FROM THIS SURVEY WILL BE CONFIDENTIAL AND WILL BE USED ONLY FOR THE PURPOSES OF DETERMINING THESE QUANTITIES. IT WILL NOT BE USED FOR ANY OTHER PURPOSE. DATA WILL BE REPORTED BY STATE ONLY, AND NO STATE-SPECIFIC DATA WILL BE REPORTED WHEN FEWER THAN THREE COMPANIES/BRANCHES RESPOND WITHIN A STATE, NO COMPANY-SPECIFIC INFORMATION WILL BE DISCLOSED IN ANY WAY.

It is recommended that you print a copy of the full survey —download a PDF — to make sure you have the necessary data at hand before beginning the online survey.

Companies with multi-state operations may also wish to download a spreadsheet to report their data. Please return the completed spreadsheet to Brett Williams, NAPA Director of Engineering & Technical Support, at bwilliams@asphaltpavement.org.

Survey results will be shared with industry, government agencies, and officials to help in the implementation of recycling and warm-mix technologies. The data collected from this survey provides insight into trends, current practice, and is utilized to highlight the sustainability of asphalt mixtures. These results are also used by FHWA, Energy Information Administration, Environmental Protection Agency, and other federal, state, and local agencies to determine the impact of recycled materials and WMA.

By completing this survey you will be eligible to receive a complimentary copy of the full report.

Your participation is greatly appreciated.



Contact Information

The following information will be used only to confirm that we do not get duplicate information from a company and to contact you if we have any questions regarding your answers. Contact Brett Williams at bwilliams@asphaltpavement.org, or Audrey Copeland at audrey@asphaltpavement.org, or NAPA by phone at 888-468-6499 if you have any questions.



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	О	•	г

Please select the state for which you are providing the information.

If your branch operates in more than one state, please complete a separate questionnaire for each

your best estimate if specific data is not available.					
* 5. V	Which state is the information pro	vide	ed for?		
\bigcirc	Alabama	\bigcirc	Kentucky	\bigcirc	Ohio
	Alaska	\bigcirc	Louisiana	\bigcirc	Oklahoma
\bigcirc	American Samoa	\bigcirc	Maine	\bigcirc	Oregon
\bigcirc	Arizona	\bigcirc	Maryland	\bigcirc	Pennsylvania
\bigcirc	Arkansas	\bigcirc	Massachusetts	\bigcirc	Puerto Rico
\bigcirc	California	\bigcirc	Michigan	\bigcirc	Rhode Island
\bigcirc	Colorado	\bigcirc	Minnesota	\bigcirc	South Carolina
\bigcirc	Connecticut	\bigcirc	Mississippi	\bigcirc	South Dakota
\bigcirc	Delaware	\bigcirc	Missouri	\bigcirc	Tennessee
\bigcirc	District of Columbia	\bigcirc	Montana	\bigcirc	Texas
\bigcirc	Florida	\bigcirc	Nebraska	\bigcirc	US Virgin Islands
\bigcirc	Georgia	\bigcirc	Nevada	\bigcirc	Utah
\bigcirc	Guam	\bigcirc	New Hampshire	\bigcirc	Vermont
\bigcirc	Hawaii	\bigcirc	New Jersey	\bigcirc	Virginia
\bigcirc	Idaho	\bigcirc	New Mexico	\bigcirc	Washington
\bigcirc	Illinois	\bigcirc	New York	\bigcirc	West Virginia
\bigcirc	Indiana	\bigcirc	North Carolina	\bigcirc	Wisconsin
\bigcirc	Iowa	\bigcirc	North Dakota	\bigcirc	Wyoming
\bigcirc	Kansas	\bigcirc	Northern Mariana Islands		

* 6. How many plants	does this survey response cover?	
Number of plants		



Total Asphalt Tonnage for 2017

*	What was your total tonnage of asphalt mixes in 2017 for the followata is not available.)	owing sectors? (Use best estimate if
	State DOT	
	Other Agency (City, County, FAA, Military, Toll Authorities)	
	Commercial & Residential	



RAP Supply and Use 2017

Please complete the following information regarding the amount of RAP received and used for 2017.	
* 9. How many tons of reclaimed asphalt pavement and asphalt millings were accepted/delivered to your facilities in the state in 2017?	
Tons:	
* 10. How many tons of RAP were used in 2017 for the following purposes? (Use best estimate if data no available.)	t
Recycled Back into HMA/WMA Mixes:	
Aggregate Base:	
Cold Mix:	
Other:	
Landfilled:	
* 11. What was the average RAP percentage used in asphalt mixes during 2017 for the following sectors' (Use best estimate if data not available.)	?
State DOT	
Other Agency (City, County, FAA, Military, Toll Authorities)	
Commercial & Residential	

* 12. At the end of the year 2017 did you have excess RAP (processed or unprocessed) in inventory?
Yes
○ No
* 13. Please estimate how many tons of RAP you had stockpiled at the end of 2017. (Use best estimate if
data not available.)
14. What parameters of the DAD processed is fractionated into two or many circus? (Hee heat estimate if
14. What percentage of the RAP processed is fractionated into two or more sizes? (Use best estimate if data not available.)
15. What percent of mixes using RAP were produced using a softer grade of asphalt binder? (Use best
estimate if data not available.)
16. What percent of mixes using DAD were produced using recycling agents? (Use best estimate if data
16. What percent of mixes using RAP were produced using recycling agents? (Use best estimate if data not available.)



Reclaimed Asphalt Shingles (RAS) Supply and Use for 2017

Please complete the following information on the amount of waste shingles received (processed and unprocessed) and used for 2017.				
* 17. Did you accept waste shingles and/or process or use reclaimed asphalt shingles (RAS) in 2017?				
Yes				
○ No				



Reclaimed Asphalt Shingles (RAS) Supply and Use for 2017

Please complete the following information regarding the amount of waste shingles received (processed and unprocessed) and used during 2017. * 18. How many tons of shingles were accepted/delivered to your facilities in the state in 2017? Unprocessed Tear-off Shingles: Unprocessed Manufacture Waste Shing! es: Processed Shingles: * 19. How many tons of reclaimed asphalt shingles (RAS) were used for the following purposes in 2017? (Use best estimate if data not available.) Recycled into HMA/WMA Mixes: Aggregate Base: Cold Mix: Other: Landfilled:

estimate if data not available			ollowing sectors? (Use best
State DOT	·.,		
State DOT			
Other Agency (City, County, FAA,	Military, Toll		
Authorities)			
Commercial & Residential			
	017 did you have any s	urplus RAS stockpiled? (Incl	ude processed and
unprocessed shingles.)			
Yes			
No			
	any tons of RAS you ha	ad stockpiled at the end of 20	017. (Use best estimate if
data not available.)			
23. Is RAS allowed in			
	ALL	SOME	NONE
DOT mixes	\circ	\bigcirc	\circ
Other Agency mixes	0	0	\bigcirc
Commercial and	0	0	0
	0	0	0
Commercial and Residential mixes		eed using a softer grade of as	sphalt binder? (Use best
Commercial and Residential mixes 24. What percent of mixes to estimate if data not available	le.)		
Commercial and Residential mixes 24. What percent of mixes the estimate if data not available and available are some content of mixes the estimate of mixes and the estimate of mixes the estimate of mixes are some content of mixes and the estimate of mixes are some content of mixes and the estimate of mixes are some content of mixes and the estimate of mixes are some content of mixes are some content of mixes and the estimate of mixes are some content	le.)	eed using a softer grade of as	
Commercial and Residential mixes 24. What percent of mixes to estimate if data not available	le.)		
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Commercial and Residential mixes 24. What percent of mixes the estimate if data not available and available are some content of mixes the estimate of mixes and the estimate of mixes the estimate of mixes are some content of mixes and the estimate of mixes are some content of mixes and the estimate of mixes are some content of mixes and the estimate of mixes are some content of mixes are some content of mixes and the estimate of mixes are some content	le.)		
Commercial and Residential mixes 24. What percent of mixes the estimate if data not available and available are some content of mixes the estimate of mixes and the estimate of mixes the estimate of mixes are some content of mixes and the estimate of mixes are some content of mixes and the estimate of mixes are some content of mixes and the estimate of mixes are some content of mixes are some content of mixes and the estimate of mixes are some content	le.)		



Warm-Mix Asphalt Production for 2017
Warm-mix asphalt is the generic term for a variety of technologies that allow the producers of asphalt pavement material to lower the temperatures at which the material is mixed and placed on the road by atleast 10 ⁰ F.
* 26. Did any of your plants in this state use warm-mix asphalt technologies in 2017?
Yes
○ No



Warm-Mix Asphalt Production for 2017

Warm-mix asphalt is the generic term for a variety of technologies that allow the producers of asphalt pavement material to lower the temperatures at which the material is mixed and placed on the road by atleast $10^{\rm O}$ F.

* 27. What was average percent o different sectors? (Use best estir	mate if data not available.)	aspnait technologies in 2017 for the
State DOT		_
Other Agency (City, County, FAA, Militar Authorities)	y, Toll	-
Commercial & Residential		_
* 28. What percentage of the total technologies? (Use best estimate	warm-mix asphalt (WMA) for 2017 e if data not available.)	was produced using the following
Chemical Admixture		
Additive (Zeolite) Foaming		
Plant Foaming		
Organic (Wax) Additive		

	Yes/No	% of HMA tons produced with technology
Chemical Admixture	\$	\$
Additive (Zeolite) Foaming	\$	•
Plant Foaming	\$	•
Organic (Wax) Additive	\$	•



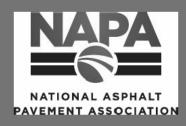
Other Recycled Material for 2017

Please let us know if you used any other recycled materials in HMA/WMA mixes in 2017.
* 30. Did you use other recycled materials (excluding RAP and RAS) in your mixes in 2017? (This includes materials added to the mix such as: ground tire rubber, blast furnace slag, steel slag, boiler slag, other coal combustion products, glass, fly ash, bottom ash, foundry sand, cellulose fibers, etc.)
Yes
○ No



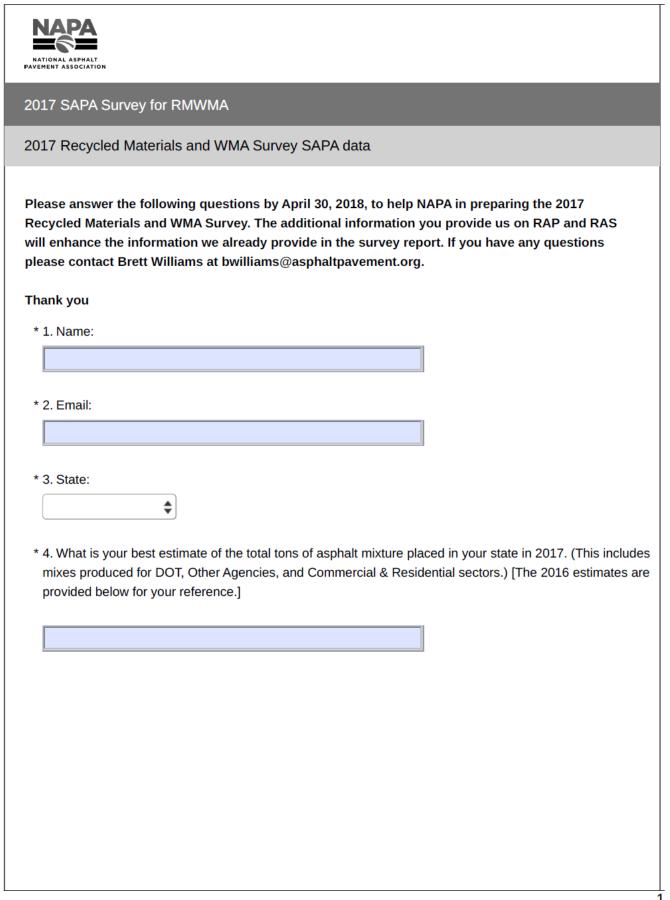
31. What other recycled ma	terial (excluding RAP and RAS) did	you use in your mixes in 2017?
	Yes	No
Ground Tire Rubber	0	0
Steel Slag	0	
Blast Furnace Slag	0	\circ
Recycled Cellulose Fibers	0	\circ
Other 1*	\bigcirc	0
Other 2*	\bigcirc	\bigcirc
32. How many tons of HMA	ed materials used. /WMA was produced using this prod	uct. (Use best estimate if data not
available.)		uct. (Use best estimate if data not
32. How many tons of HMA available.) Ground Tire Rubber		uct. (Use best estimate if data not
32. How many tons of HMA available.)		uct. (Use best estimate if data not
32. How many tons of HMA available.) Ground Tire Rubber		uct. (Use best estimate if data not
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32. How many tons of HMA available.) Ground Tire Rubber Steel Slag Blast Furnace Slag		uct. (Use best estimate if data not

Steel Slag Blast Furnace Slag Recycled Cellulose Fibers Other 1 Other 2	Ground Tire Rubber	
Blast Furnace Slag Recycled Cellulose Fibers Other 1		
Recycled Cellulose Fibers Other 1	Steel Slag	
Other 1	Blast Furnace Slag	
	Recycled Cellulose Fibers	
Other 2	Other 1	
	Other 2	
	1	



Thank You 34. Would you like a complimentary copy of the final report? O No

2017 Construction Season Survey: SAPA Version



2016 Estimated Tons by State

Table 3: Summary of 2016 Estimated and Reported Asphalt Mixture Tons by State

	Tons, N	Millions	Reported %		Tons, N	lillions	Reported %
State	Estimated	Reported	of Estimated	State	Estimated	Reported	of Estimated
Alabama	7.50	3.76	50%	Montana	3.92	*	*
Alaska	4.64	*	*	Nebraska	2.72	*	*
Arizona	7.14	2.42	34%	Nevada	3.28	0.95	29%
Arkansas	5.50	2.31	42%	New Hampshire	1.43	1.50	105%
California	25.00	9.68	39%	New Jersey	4.50	2.73	61%
Colorado	7.50	2.38	32%	New Mexico	3.47	0.99	29%
Connecticut	4.55	2.48	55%	New York	17.00	5.68	33%
Delaware	1.59	*	*	North Carolina	15.00	4.77	32%
District of Columbia	1.38	NCR	NCR	North Dakota	2.10	*	*
Florida	15.00	5.36	36%	Ohio	19.00	10.41	55%
Georgia	10.00	6.95	70%	Oklahoma	5.21	2.21	42%
Hawaii	1.10	*	*	Oregon	5.40	1.61	30%
Idaho	2.68	1.27	47%	Pennsylvania	19.00	7.32	39%
Illinois	14.10	2.18	15%	Puerto Rico	1.00	NCR	NCR
Indiana	10.00	4.79	48%	Rhode Island	1.90	*	*
lowa	3.92	2.20	56%	South Carolina	6.50	3.11	48%
Kansas	3.50	1.65	47%	South Dakota	1.60	*	*
Kentucky	6.90	3.23	47%	Tennessee	8.24	2.36	29%
Louisiana	2.65	1.85	70%	Texas	24.00	7.97	33%
Maine	1.59	2.07	130%	Utah	3.60	4.06	113%
Maryland	7.50	3.34	45%	Vermont	1.72	*	*
Massachusetts	6.40	3.02	47%	Virginia	12.00	7.39	62%
Michigan	14.00	5.92	42%	Washington	5.83	1.87	32%
Minnesota	13.00	4.64	36%	West Virginia	4.12	2.17	53%
Mississippi	4.72	2.69	57%	Wisconsin	12.00	7.14	60%
Missouri	6.30	1.82	29%	Wyoming	2.22	0.34	15%
NCR No Companies	s Responding			Total	374.90	155.80 [†]	42%

Fewer than 3 Companies Reporting

5. Comments: 6. Do producers in your state fractionate RAP?

Yes No

Total Reported Tons includes values from state with fewer than 3 Companies Reporting SAPA Estimated Tons

	ALL	SOME	NONE
DOT mixes	0	O	0
Other Agency mixes	0	0	\bigcirc
Commercial / Residential mixes	0	0	0
Comments:			
8. Does your state require, a Binder Replacement mixes		se of recycling agents or softe -RAS)?	er binders in high Asphal
	Require	Allow	Prohibit
Recycling Agent:	\bigcirc		
Softer Binders:	\bigcirc		
Comments:			
9. What limits the use of RA	P in your state?		
9. What limits the use of RA Specification limits Volumetric requirements Mixture performance Availability of RAP Asphalt plant capabilities	P in your state?		
Specification limits Volumetric requirements Mixture performance Availability of RAP	P in your state?		
Specification limits Volumetric requirements Mixture performance Availability of RAP Asphalt plant capabilities	P in your state?		
Specification limits Volumetric requirements Mixture performance Availability of RAP Asphalt plant capabilities Economics	P in your state?		
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Specification limits Volumetric requirements Mixture performance Availability of RAP Asphalt plant capabilities Economics	P in your state?		
Specification limits Volumetric requirements Mixture performance Availability of RAP Asphalt plant capabilities Economics	P in your state?		

40		г
10.	What limits the use of RAS in your state?	
	Specification limits	
	Volumetric requirements	
	Mixture performance	
	Availability of RAS	
	Asphalt plant capabilities	
	Economics	
	Others (Please list)	
		i.



National Asphalt Pavement Association

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8th Annual Asphalt Pavement Industry Survey IS 138 — Appendix A

